

# **PRECLINICAL SPEECH SCIENCE**



# PRECLINICAL SPEECH SCIENCE

*Anatomy, Physiology, Acoustics, and Perception*

THIRD EDITION

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# Preface

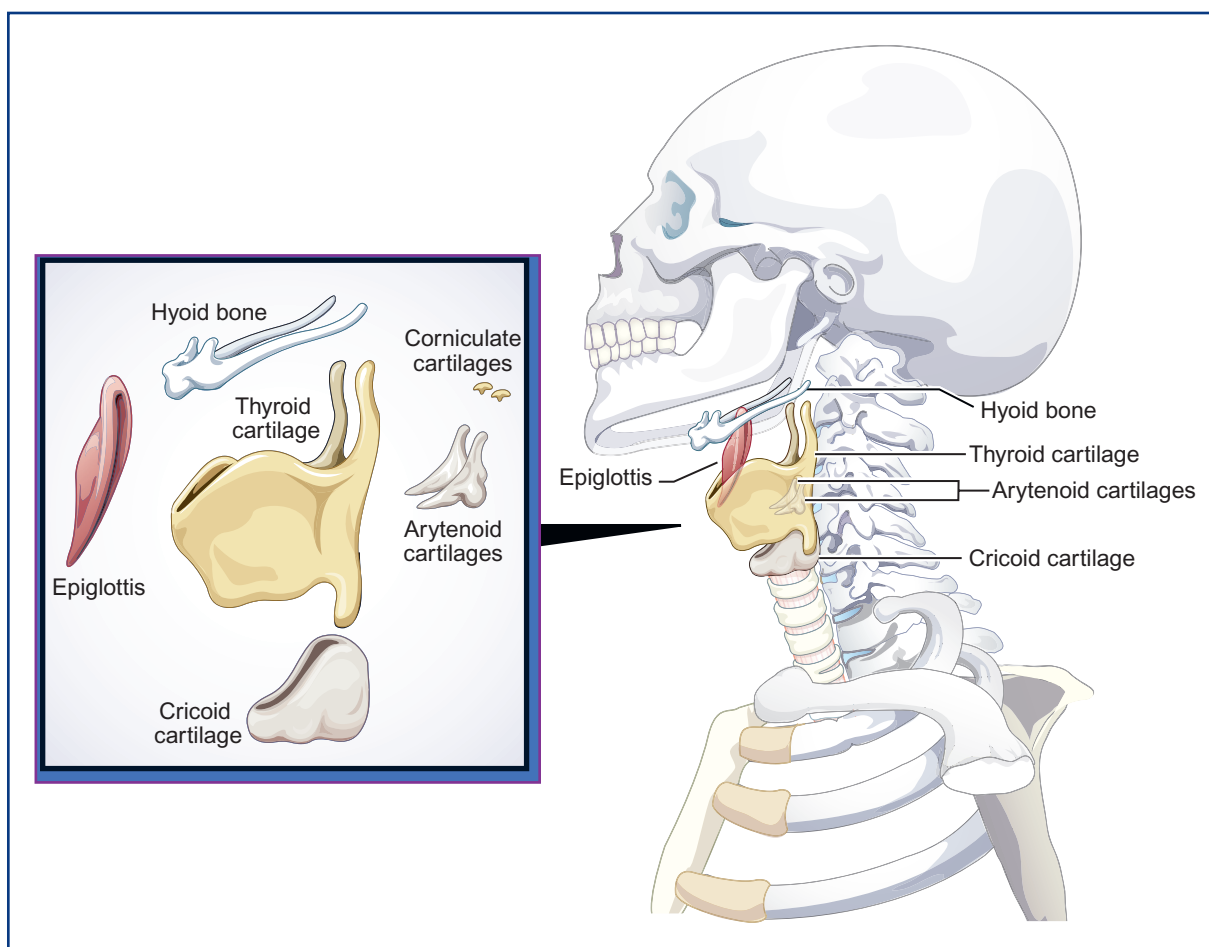
The third edition of *Preclinical Speech Science* is a carefully revised and expanded version of the second edition of the textbook. The revised parts include line-by-line edits of all chapters from the second edition for greater clarity, removal of certain sections (several of which are available as supplementary materials on the textbook companion website, including the scenarios of the previous edition), and addition of new material to chapters from the second edition, including text, figures, and recent references from the research literature.

This new edition also contains three new chapters, including Chapter 6 (“Speech Physiology Measurement and Analysis”), Chapter 13 (“Auditory Anatomy and Physiology”), and Chapter 14 (“Auditory Psychophysics”). Chapter 6 was added to complement Chapter 10 (“Speech Acoustic Measurement and Analysis”) and Chapters 13 and 14 were added in response to suggestions made by colleagues and students, that this

textbook would benefit from chapter-length material on Hearing Science. With the inclusion of these two chapters on hearing science, perhaps a more accurate title for the textbook would be *Preclinical Speech and Hearing Science*. Because this is the third edition of the text, we have chosen to retain the original title to be consistent with the previous editions.

The Workbook accompanying the third edition of this textbook has also been updated with complete sets of problems and exercises for the three new chapters, and revised exercises for all other chapters. The Workbook is a self-study resource, complete with answers to the problems and exercises.

A PluralPlus companion website also accompanies this new edition of *Preclinical Speech Science*. The website has supplementary text and figures, sound files, study guides, and instructor lecture slides.



**Figure 3-1.** Skeletal framework of the laryngeal apparatus. This framework is composed of two paired cartilages (arytenoid cartilages and corniculate cartilages), three unpaired cartilages (thyroid cartilage, cricoid cartilage, and epiglottis), and one bone (hyoid bone).

the thyroid cartilage and diverge widely (more so in women than in men) toward the back. The configuration of the two thyroid laminae resembles the bow of a ship. The line of fusion between the two plates is called the angle of the thyroid. The upper part of the structure contains a prominent V-shaped depression termed the thyroid notch that can be palpated at the front of the neck. This notch is located just above the most forward projection of the cartilage, an outward jutting called the thyroid prominence or Adam's apple.

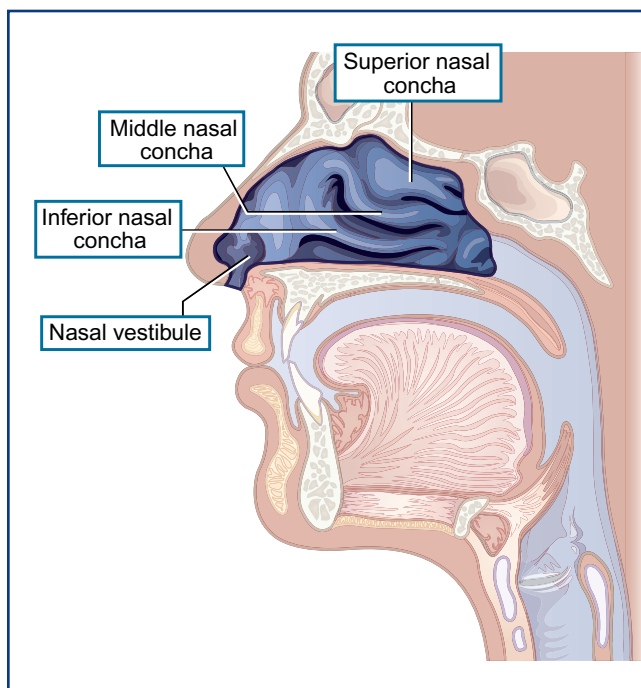
The back edges of the thyroid laminae extend upward into two long horns, called the superior cornua, and downward into two short horns, called the inferior cornua. The superior cornua are coupled to the hyoid bone. The inferior cornua have facets (areas where other structures join) on their lower inside surfaces that form joints with the cricoid cartilage. The

inferior cornua straddle the cricoid cartilage like a pair of legs (see Figure 3-1).

### *Cricoid Cartilage*

The cricoid cartilage forms the lower part of the laryngeal skeleton. It is a ring-shaped structure located above the trachea. As shown in Figure 3-3, the cricoid cartilage has a thick plate at the back, the posterior quadrangle lamina, which resembles a signet on a finger ring. A semicircular structure, called the anterior arch, forms the front of the cricoid cartilage and is akin to a band on a finger ring.

Four facets are located on the cricoid cartilage. The lower two facets, one on each side at the same level, are positioned near the junction of the posterior quadrangle lamina and anterior arch. Each of these facets articulates with a facet on one of the inferior cornua



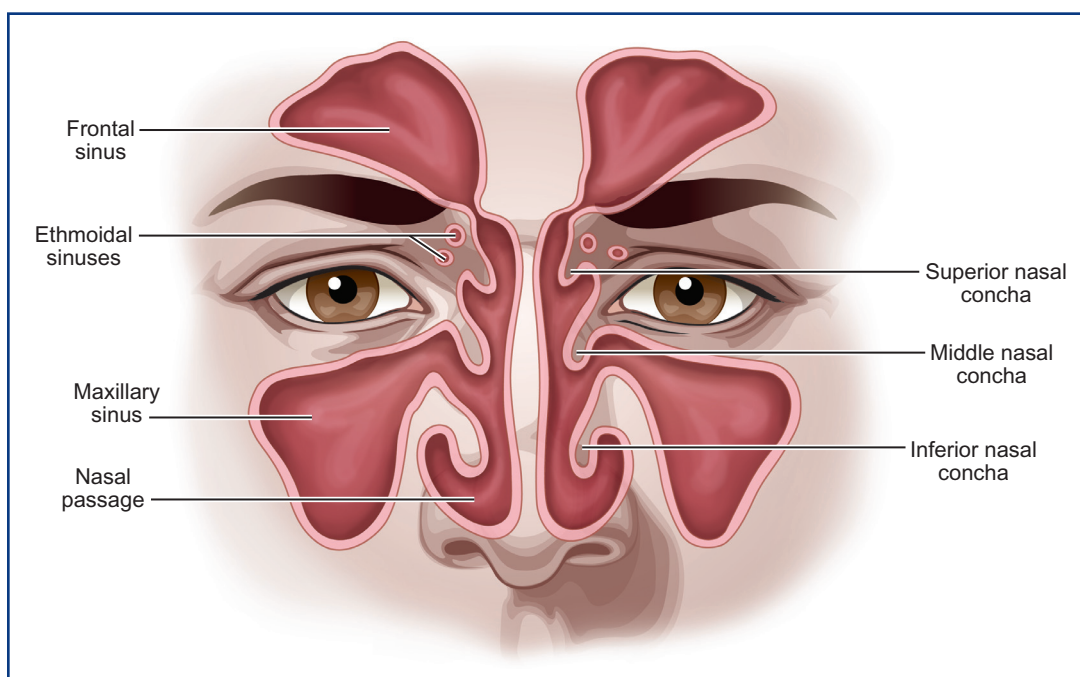
**Figure 4-7.** Superior, middle, and inferior nasal conchae (also called nasal turbinates). These conchae contain many nooks and crannies and create a large surface area to the inner nose.

provides a large surface area to the inner nose and has a rich blood supply. Near the front of each nasal cavity is the nasal vestibule, a modest dilation just inside the aperture of the anterior naris.

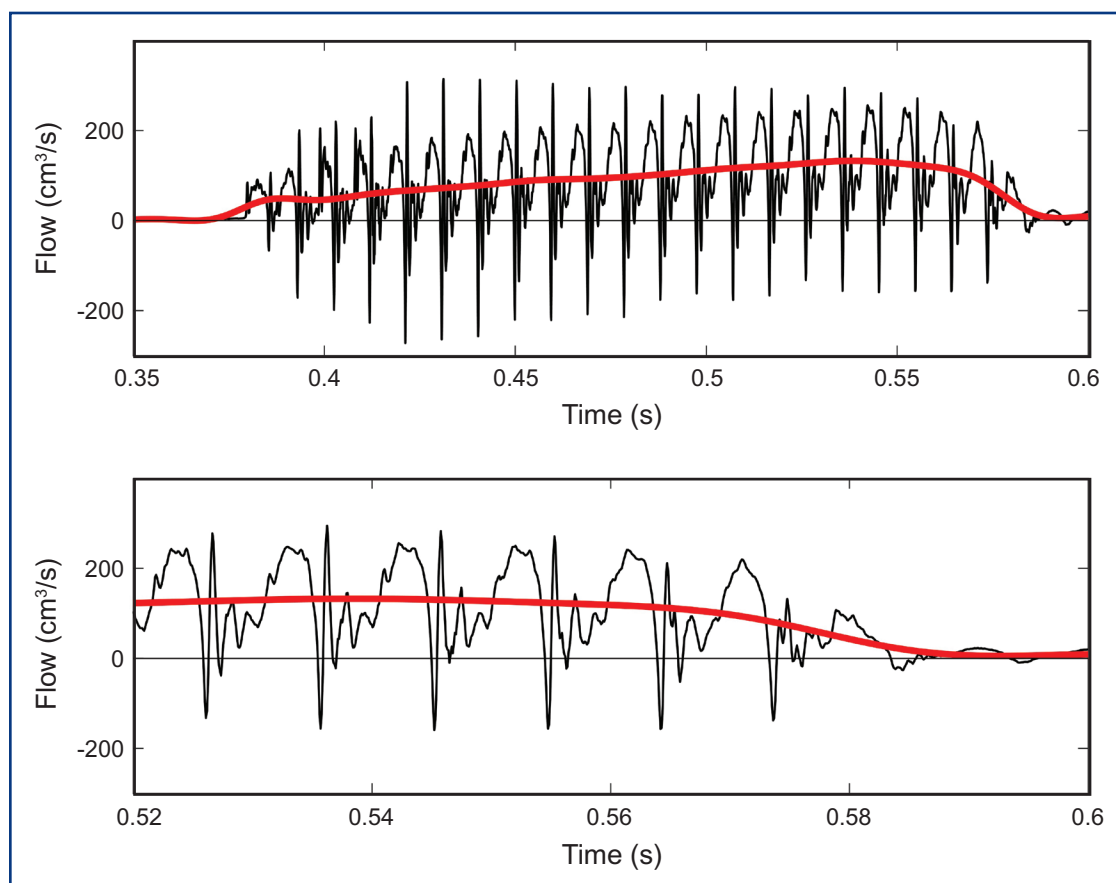
There are four sinuses (hollows) that surround the nasal cavities. Called the paranasal sinuses, they include the maxillary, frontal, ethmoid, and sphenoid sinuses, each located within the bone of corresponding name. Three of these are shown in Figure 4-8. The sphenoid, not pictured, is located behind and above the superior nasal conchae within the sphenoid bone. They are usually air filled but can become liquid filled when infected. Their relevance to speech is primarily related to their effects on the resonance characteristics of the acoustic signal during nasal sound production (see Chapter 9).

### Outer Nose

Unlike the other components of the velopharyngeal-nasal apparatus, the outer nose is familiar to everyone. The outer nose is hard to ignore because it is in the center of the face and projects outward and downward conspicuously. The more prominent surface features of the outer nose include the root, bridge, dorsum, apex,



**Figure 4-8.** The paranasal sinuses. Shown in this figure are the maxillary, frontal, and ethmoid sinuses. Not shown are the paired sphenoid sinuses, which are located behind and above the superior nasal conchae.

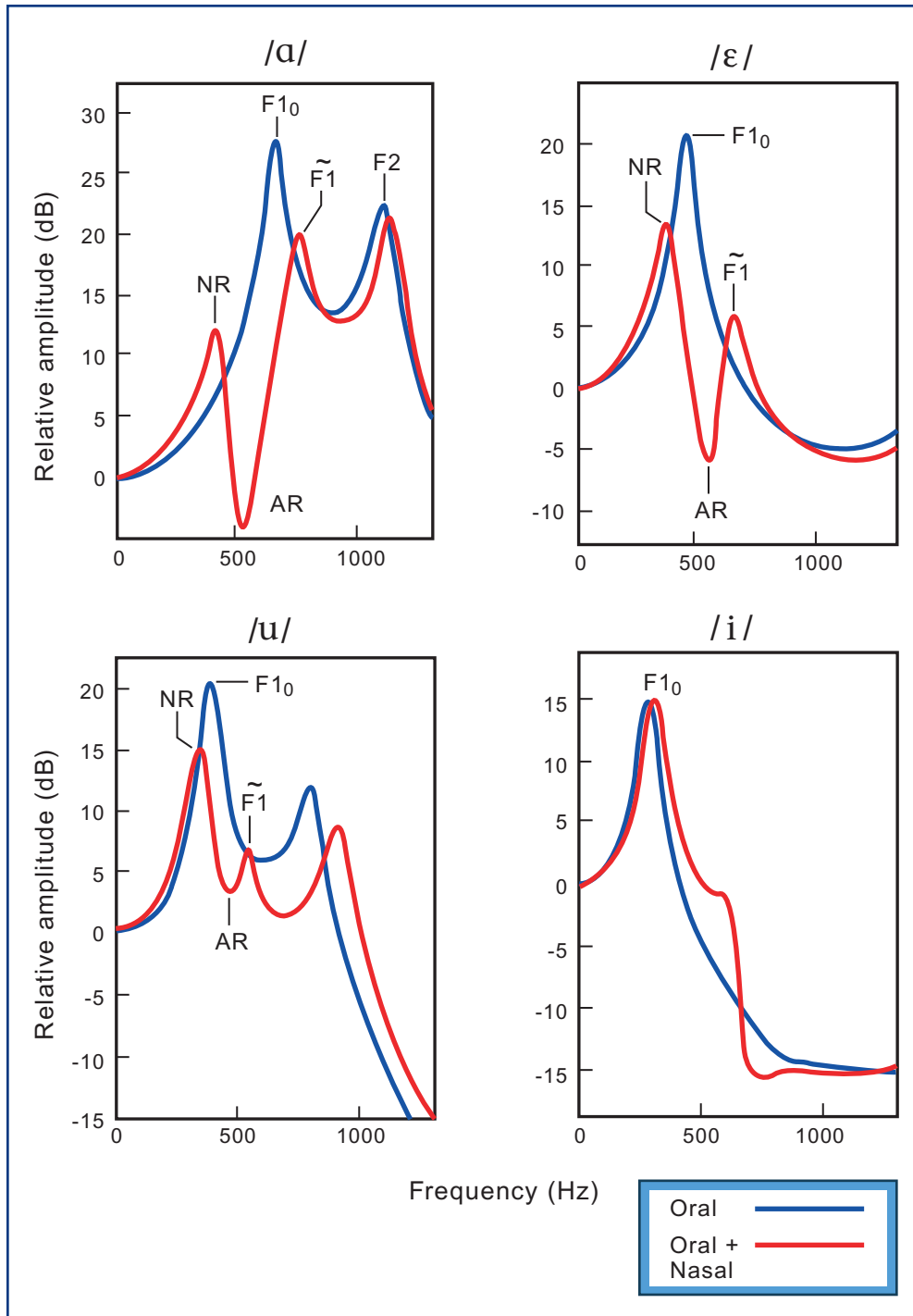


**Figure 6-11.** Airflow recorded at the airway opening during vowel production. The black tracings show the fast airflow events associated with each cycle of vocal fold vibration. The red tracings represent the average airflow obtained by low-pass filtering the black airflow signal (to filter out high-frequency airflow events). The bottom set of tracings are a zoomed-in image from the upper set of tracings. The fundamental frequency is about 100 Hz (courtesy of Brad Story).

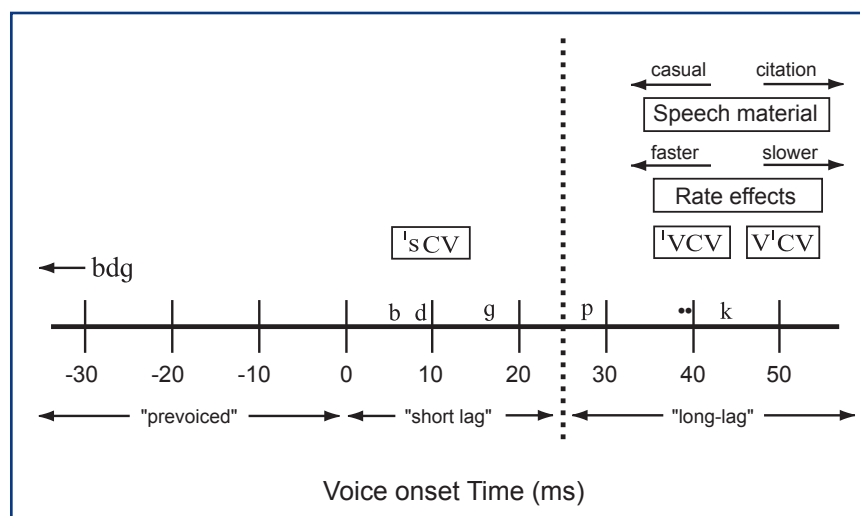
airway-opening airflow to calculate laryngeal airway resistance. As shown in Figure 6-12, measurements are taken at moments that enable estimates to be made of the air pressure difference across the larynx and the airflow through it during vowel productions. Resistance is calculated by dividing the air pressure difference (estimated tracheal air pressure minus estimated pharyngeal air pressure) by the translaryngeal airflow (estimated from the airflow at the airway opening). Resistance values are typically expressed in  $\text{cmH}_2\text{O}/\text{LPS}$  (centimeters of water/liters per second) and can range from very low (wide open airway) to infinite (airtight closure of the airway). Such resistance values reflect the degree of opening of the laryngeal airway during voice production (Holmberg, Hillman, & Perkell, 1988, 1999; Leeper & Graves, 1984; Smitheran & Hixon, 1981).

Phonation threshold pressure is another aeromechanical measure that can provide information about

laryngeal function, or more specifically, vocal fold function. Phonation threshold pressure is defined as the minimum tracheal pressure required to initiate vocal fold vibration and is understood to reflect the status of the vocal folds (viscosity and thickness) and their distance from one another (glottal width) (Titze, 1988). Although there are invasive ways to measure phonation threshold pressure, the most common way to estimate it is by using the noninvasive approach depicted in Figure 6-7, with the client producing the /p/-vowel syllable strings in the quietest voice possible (Verdolini-Marston, Titze, & Druker, 1990). The lower the peak oral pressures during /p/ productions (estimated tracheal pressure), while still maintaining voicing during the vowel segments, the lower the phonation threshold pressure. And the lower the phonation threshold pressure, the healthier vocal fold function is judged to be. Although this measure is relatively easy to obtain, it is not without its limitations. For example, it is common



**Figure 9-4.** Spectra for the vowels /a/, /ε/, /u/, and /i/ for non-nasalized (blue curves) and nasalized (red curves) productions. Frequency is plotted between 0 and 1300 Hz on the x-axis and relative amplitude, in dB, is plotted on the ordinate. NR = nasal resonance. AR = antiresonance.  $F1_0$  = F1 of non-nasalized vowel.  $\tilde{F}1$  = F1 of nasalized vowel. For each vowel except /i/, there is a nasal resonance-antiresonance-F1 pattern in the nasalized spectra. In the case of /i/, the nasal resonance is canceled by the antiresonance because of the small coupling (small velopharyngeal port opening) between the oral and nasal cavities. From "Some acoustical and perceptual correlates of nasal vowels," by K. Stevens, G. Fant, and S. Hawkins in *In Honor of Ilse Lehiste* (p. 246), edited by R. Channon and L. Shockey, 1987, Dordrecht, Netherlands: Foris. Copyright 1987 by Foris. Modified and reproduced with permission.



**Figure 11-30.** Graphic summary of VOT data from English speakers. A VOT continuum ranging from  $-30$  to  $+50$  ms is shown, and effects are indicated by the phonetic symbols and boxes above the continuum line. See text for additional detail.

glottal pulse of the following vowel, negative VOT values represent the time by which glottal pulses within the closure interval *precede* the burst.

Both positive and negative VOT values are common in voiced stop production. As noted above, negative VOTs are associated with stops produced in the utterance-initial position (no speech sounds preceding the stop); intervocalic voiced stops often have glottal pulses during the closure interval, but these are not considered prevoiced. When voiced stops have glottal pulses that are not continuous throughout the closure interval, the VOT is often positive, but very short, as shown in Figure 11-30. The prevoiced, voiced stops reported by Lisker and Abramson all had VOTs more negative than  $-30$  ms, the last negative value on the continuum shown in Figure 11-30.

Figure 11-30 shows a vertical dotted line at 25 ms along the VOT continuum. This line designates a boundary between typical positive VOTs for voiced and voiceless stops. Voiceless stops can be expected to have VOTs exceeding 25 ms (*long-lag* VOTs), whereas voiced stops have VOTs less than 25 ms (*short-lag* VOTs) (Weismer, 2006).

The boxes above the VOT continuum and to the right of the 25 ms boundary identify factors that cause VOT to vary in systematic ways. These boxes are in the long-lag range of the VOT continuum because the effects are most prominent for voiceless stops, with much smaller effects on the short-lag VOTs of voiced stops. VOT is affected by the position of a voiceless stop relative to a stressed vowel. Longer VOTs are

measured when the stop precedes, compared with follows, a stressed vowel. The box containing the V'CV frame has been placed to the right (longer VOTs) of the 'VCV box to indicate this effect. In fact, VOTs for voiceless stops in 'VCV frames may be so short as to place them in the short-lag range (Umeda, 1977). The effect of speaking rate on VOT, indicated in Figure 11-30 by the box and arrows immediately above the stress effects, are predictable from the direction of rate change. Slower rates produce longer VOTs for voiceless stops (shown by the arrow pointing to the right), and faster rates produce shorter VOTs (left-pointing arrow) (Kessinger & Blumstein, 1997). The reduction (shortening) of long-lag VOTs at very fast speaking rates is rarely so dramatic as to encroach on the short-lag range (Kessinger & Blumstein, 1997; Summerfield, 1975). Finally, the topmost box indicates that speaking style affects the value of long-lag VOTs. Longer VOTs for voiceless stops are produced in more formal speaking styles, sometimes referred to as citation form or "clear" speech (Krause & Braida, 2004; Smiljanić & Bradlow, 2005). Casual speech styles yield shorter VOTs. The difference between formal and casual speaking styles is likely to involve a difference in speaking rate. Formal speaking styles typically have slower rates than casual styles (Picheny et al., 1986).

A special case of VOT modification for voiceless stops is indicated by the "sCV" box above the short-lag range. "sCV" stands for prestressed s + stop clusters, in words such as "stop," "skate," "speech," "astounding." Voiceless stops in s + stop clusters have short-lag

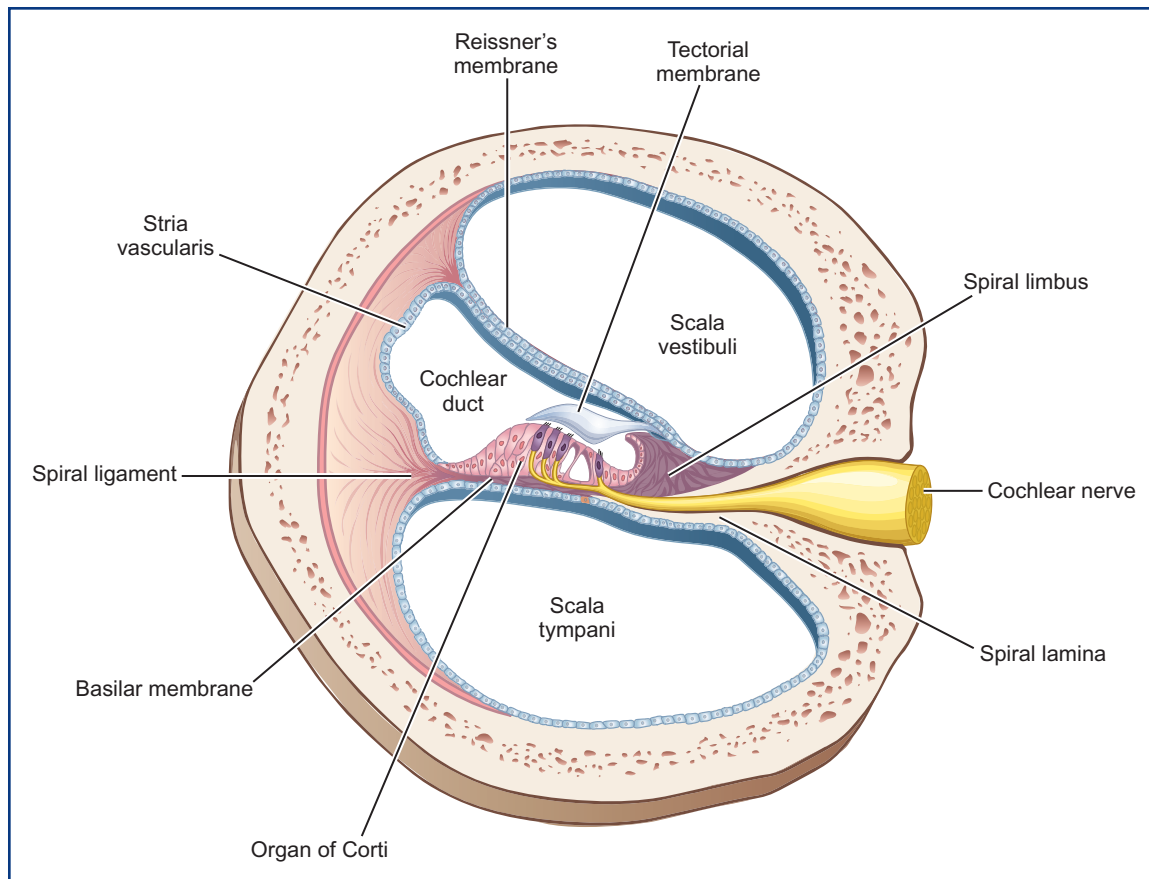


cochlea is oriented in the head as if the tip is pointing along the horizontal axis. The back half of the cochlea is shown in this view. On either side of the center of the slice, two “triplets” of ducts are seen, one triplet at the base (labeled “basal turn” in the figure), the other just above it (labeled “middle turn”). The top triplet of ducts is at the apical turn of the cochlea, at the very tip of which the two outside ducts—the scala vestibuli and scala tympani—are connected. The center “core” section of the cut is called the modiolus (not labeled in Figure 13–16). The turns of the bony cochlea wrap around this center core as they spiral to the apex. The modiolus contains the nerve fibers that innervate the hair cells. It also contains ganglion cells where fibers emerging from the cochlea make their first synapse before continuing to the internal auditory meatus as the auditory part of the auditory-vestibular nerve.

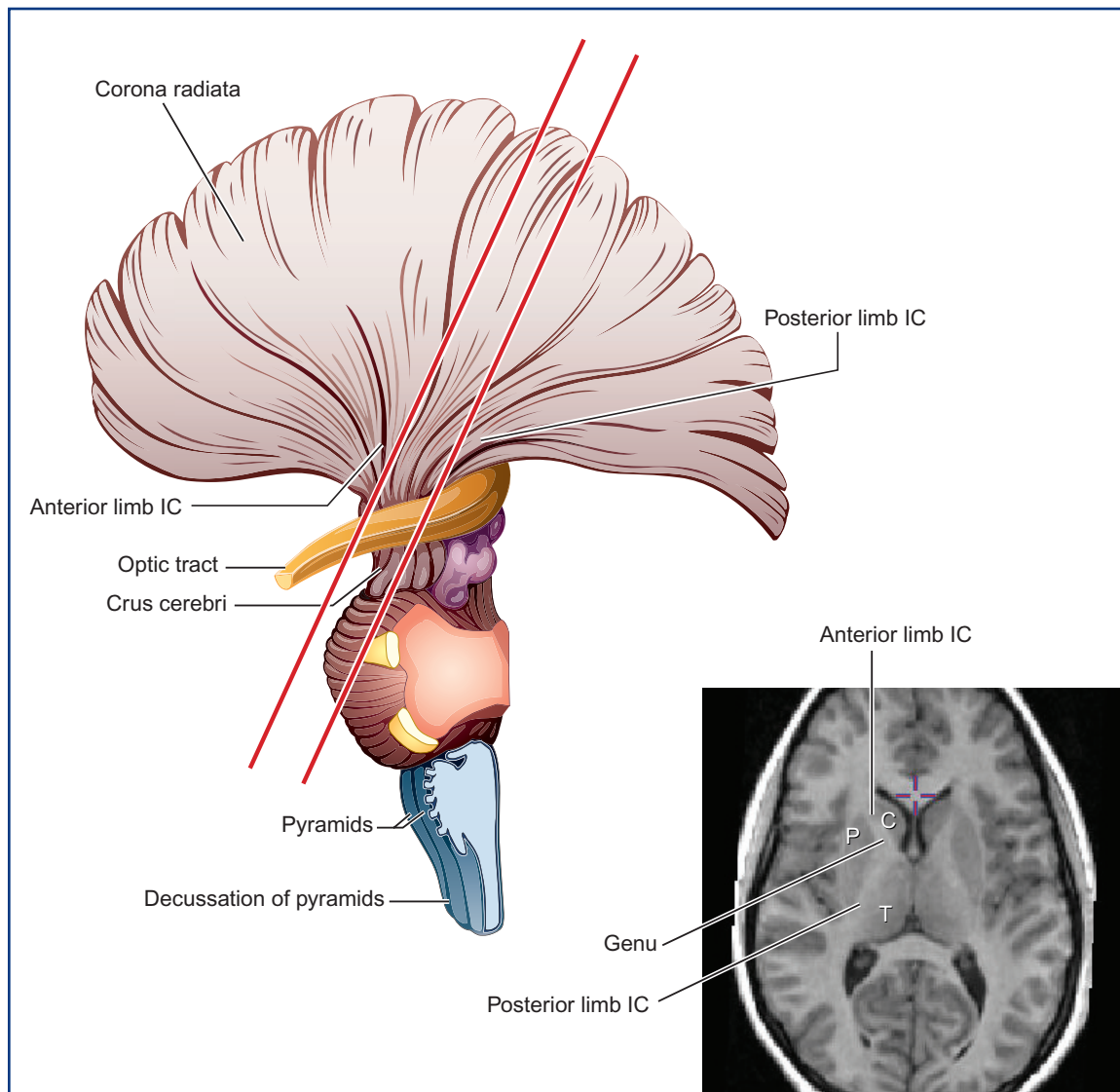
From base to tip, the modiolus sends out two bony shelves toward the outer edges of the spiraling cochlea. These shelves are called the spiral lamina, whose bony extensions serve as the divider between the two outer ducts—the scala vestibuli and scala tympani (labeled

only for the basal turns in Figure 13–16). The spiral lamina does not extend to the lateral, bony border of the cochlea. Rather, as described below, membranes extending from the end of the bony lamina to the inside of the lateral border of the cochlea create the third duct sitting between the scala vestibuli and scala tympani. This third duct is called the scala media, or alternately the cochlear duct. All three ducts are filled with fluid.

The second way to appreciate the structure of the cochlea is by studying a zoomed view of the ducts in the cochlea. The zoomed view of the bony cochlea in Figure 13–17 is from its basal turn. From top to bottom the ducts are the scala vestibuli, scala media, and scala tympani. At the beginning of the basal turn of the scala vestibuli, near the section shown in the figure, is the oval window. The termination of the basal turn of the scala tympani is the round window. The two membranes that extend from shelves of the spiral lamina to the outer edge of the cochlea, and enclose the scala media, are called Reissner’s membrane (dividing the scala vestibuli from the scala media) and the basilar membrane (dividing the scala tympani from the scala



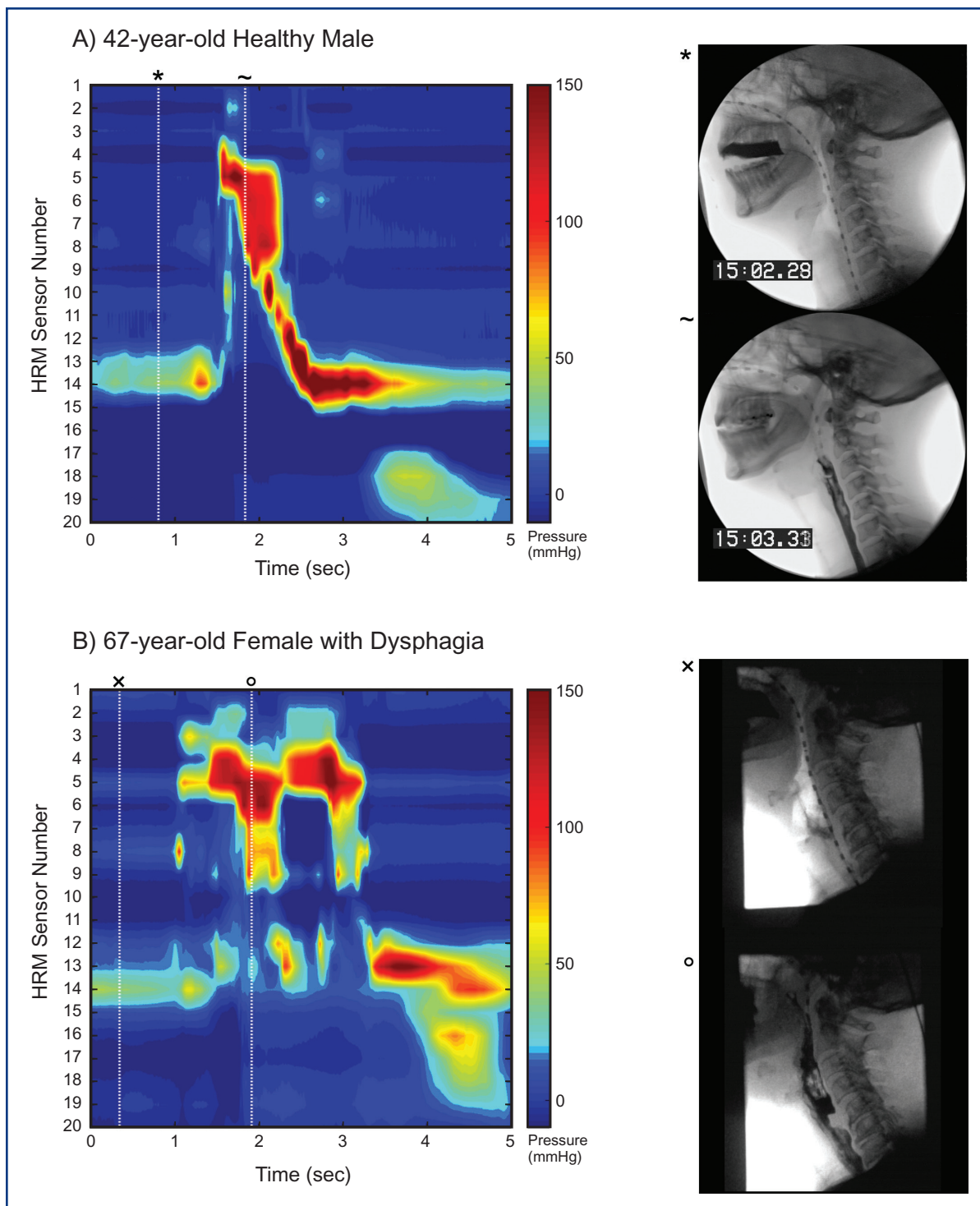
**Figure 13-17.** Zoom view of cochlear scalae from the basal turn of the cochlea.



**Figure 15-12.** *Upper left*, view of fibers of the corona radiata descending in the cerebral hemispheres and gathering into a narrow bundle called the internal capsule (IC), which passes between several subcortical nuclei en route to the brainstem. *Lower right*, horizontal section of cerebral hemispheres showing the “boomerang” shape of the internal capsule. The anterior and posterior limbs plus the genu of the internal capsule are labeled. C = caudate nucleus; P = putamen; T = thalamus.

to reveal the fibers of the corona radiata and internal capsule. Even though the internal capsule is the tightly gathered merger of the many fibers of the corona radiata, the internal capsule has an anterior, middle, and posterior part (IC = internal capsule in Figure 15-12, upper image). The precise location of a coronal slice therefore determines which part of the internal capsule is displayed. Like so many other parts of the brain, the internal capsule is not a random jumble of fibers, but is arranged systematically based on the cortical origin of the fibers. In a horizontal (axial) slice (inset, lower right of Figure 15-12; the anterior part of the brain is

toward the top of the image) the internal capsule in each hemisphere has a boomerang shape with the “angle” of the boomerang most medial and the two arms extending away from this angle anterolaterally and posterolaterally. To provide a rough idea of the systematic arrangement of fibers within the internal capsule, most corticobulbar fibers associated with control of facial, jaw, tongue, velopharyngeal, and laryngeal muscles run through a compact bundle close to or within the angle (called the genu) of the internal capsule. Fibers descending to motor neurons in the spinal cord are mostly located in the posterior arm (called the



**Figure 16-12.** Simultaneous videofluoroscopy and pharyngeal high-resolution manometry of a 10 cc thin barium swallow from a 42-year-old healthy man (**A**) and a 67-year-old woman with dysphagia (**B**). High-resolution manometry sensors appear as black rectangles on the videofluoroscopy stills. Videofluoroscopy still images correspond to the time indicated by the vertical lines on the manometry plot with the same symbol at the top. In the data from the healthy man, pressures in the pharynx are low at rest (sensors 4-12: dark blue), whereas pressure is higher in the upper esophageal sphincter (sensors 13-14: light blue/green). During swallowing, the pharynx constricts, creating high pressures (orange/red) at the same time the upper esophageal sphincter relaxes (dark blue). The data from the woman with dysphagia reveals that she swallowed twice to clear the bolus, as indicated by the gap in the pressure wave (sensors 10-11: dark blue). Also note the area of elevated pressure in the upper esophageal sphincter (sensor 13: light blue/green) during opening. Courtesy of Timothy McCulloch, MD, and Corinne Jones, PhD, CCC-SLP.